

## Fawcettimine-Related Alkaloids from *Lycopodium serratum*

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Five new *Lycopodium* alkaloids (**1–5**) having the fawcettimine skeleton were isolated from *Lycopodium serratum*. The structures of the alkaloids were elucidated on the basis of spectroscopic analysis. Some alkaloids isolated in this and previous studies (**1**, **2**, **5**, and **10**) were assayed for acetylcholine esterase (AChE) inhibitory activity.

Plants belonging to genus *Lycopodium* are known to contain alkaloids having unique skeletal characteristics and activities such as acetylcholine esterase (AChE) inhibition.<sup>1</sup> This has inspired many groups to investigate the alkaloid constituents in those plants, resulting in the discovery of a number of novel alkaloids in recent years.<sup>2</sup> We have reported previously the isolation and structure elucidation of novel *Lycopodium* alkaloids having various kinds of skeletons.<sup>3</sup> In this paper, we describe the isolation and structure elucidation of new alkaloids from *L. serratum*. The AChE inhibitory activities of some alkaloids are also reported.

### Results and Discussion

The whole plant of *Lycopodium serratum* Thunb. collected at Mt. Kiyosumi, Chiba Prefecture, Japan, was dried, pulverized, and extracted with MeOH. The alkaloid fraction was obtained by acid–base extraction, and the crude base was separated by column chromatography to afford five new alkaloids (**1–5**), together with known alkaloids, lycothunine (**6**),<sup>4,5</sup> serratine (**7**),<sup>6,7</sup> and serratanidine (**8**).<sup>8,9</sup>

Compound **1**, 11 $\alpha$ -hydroxyfawcettidine, was obtained as a colorless solid. High-resolution FABMS analysis gave  $m/z$  262.1805 ( $M + H$ ,  $\Delta -0.2$  mmu) and established the molecular formula as  $C_{16}H_{23}NO_2$ . IR absorption bands at 1732 and 3454  $cm^{-1}$  implied the presence of a ketone and a hydroxy group, respectively.  $^1H$  and  $^{13}C$  NMR and HMQC analyses indicated the presence of one ketone ( $\delta_C$  217.1), one oxygenated methine ( $\delta_H$  3.87, 1H, br s), a trisubstituted olefinic function ( $\delta_H$  5.97, 1H, d,  $J = 5.2$  Hz), one  $sp^3$  methyl group ( $\delta_H$  1.09, 3H, d,  $J = 7.1$  Hz), two  $sp^3$  aminomethylene carbons ( $\delta_C$  61.3 and 45.8), three  $sp^3$  methines, and five  $sp^3$  methylenes (Table 1).  $^1H$ – $^1H$  COSY analysis gave three fragments [**a**:  $-CH_2CH_2CH_2CH-$ , **b**:  $-CH_2CHCH_2CH-(CH_3)CH-$ , **c**:  $-CH_2CH_2CH-$ ]. The planar structure of **1** was elucidated by HMBC analysis as follows. Correlations between the two hydrogens attached to the carbon adjacent to the terminal carbon of fragments **a** and **b** [ $\delta_H$  1.43 ( $H\beta$ -3) on fragment **a** and 2.80 (H-7) on fragment **b**] and the carbonyl carbon [ $\delta_C$  217.1 (C-5)] as well as quaternary carbon [ $\delta_C$  52.4 (C-12)] indicated the presence of a cyclopentanone ring system. The connectivity of the aminomethylene carbons on the termini of fragments **a** and **c** [ $\delta_C$  61.3 (C-1) and 45.8 (C-9)] with the same nitrogen atom was revealed by correlations between the aminomethylene hydrogen [ $\delta_H$  3.00 ( $H\beta$ -1)] and the aminomethylene carbon [ $\delta_C$  45.8 (C-9)]. A similar correlation between H-9 and C-1 was also observed. The enamino group was elucidated on the basis of correlations between two hydrogens [ $\delta_H$  3.00 ( $H\beta$ -1) and 5.97 (H-14)] and an olefinic carbon [ $\delta_C$  141.9 (C-13)]. Finally, the piperidine ring system (C-9–C-13

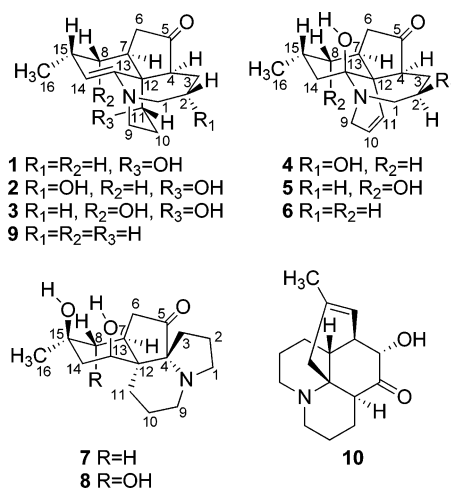


Figure 1. New and related known alkaloids.

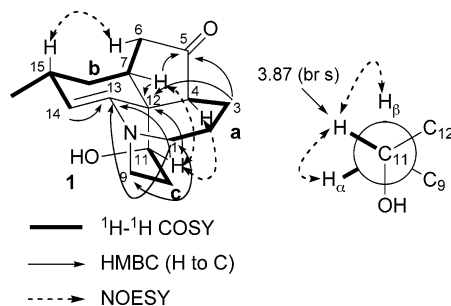


Figure 2. Selected 2D NMR data and configurational analysis of **1**.

and a nitrogen atom) was determined on the basis of correlations between the oxygenated methine hydrogen [ $\delta_H$  3.87 (H-11)] and two carbons [ $\delta_C$  52.4 (C-12) and 141.9 (C-13)]. These data indicated that **1** possessed the fundamental backbone of the known alkaloid fawcettidine (**9**).<sup>10</sup> The relative configuration of **1** was found to be the same as that of **9** by NOESY analysis [correlations H-4/H-11, H-7/H-11, and  $H\beta$ -6/H-15]. NOESY correlations between the oxymethine hydrogen (H-11) and two adjacent hydrogens (H<sub>2</sub>-10) indicated that H-11 has a gauche relationship with the two methylene hydrogens on C-10. Furthermore, the broadened singlet of H-11 also implied the gauche relationship described above. These results indicated that the C-11 hydroxy group occupied the  $\alpha$ -axial position in the piperidine ring system. Thus, we proposed **1** to be the 11-hydroxy derivative of fawcettidine (**9**), i.e., 11 $\alpha$ -hydroxyfawcettidine, as shown in Figures 1 and 2.

Compound **2**, 2 $\alpha$ ,11 $\alpha$ -dihydroxyfawcettidine, was obtained as a colorless solid. High-resolution FABMS analysis gave  $m/z$  278.1775 ( $M + H$ ,  $\Delta +1.9$  mmu) and established the molecular

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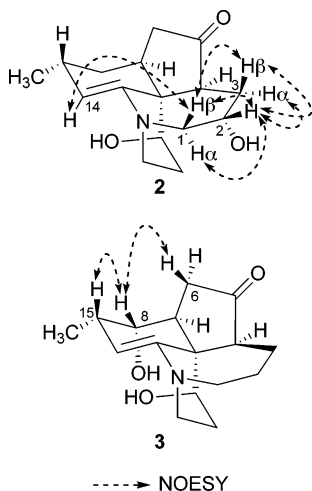
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**Table 1.**  $^1\text{H}$  (600 MHz) and  $^{13}\text{C}$  NMR (150 MHz) Data for **1–3** in  $\text{CDCl}_3$ 

position	<b>1</b>		<b>2</b>		<b>3</b>	
	$\delta_{\text{H}}$ (mult., $J$ in Hz)	$\delta_{\text{C}}$	$\delta_{\text{H}}$ (mult., $J$ in Hz)	$\delta_{\text{C}}$	$\delta_{\text{H}}$ (mult., $J$ in Hz)	$\delta_{\text{C}}$
1 $\alpha$	3.15 (br d, 14.3)	61.3	3.15 (d, 15.1)	65.9	3.12 (ddd, 13.8, 2.8, 2.8)	60.8
1 $\beta$	3.00 (ddd, 12.9, 12.9, 3.5)		3.23 (dd, 15.3, 2.7)		3.03 (m)	
2 $\alpha$	1.70 (m)	31.3	3.99 (d, $J = 6.6$ )	70.6	1.77 (m)	31.6
2 $\beta$	1.76 (m)				1.77 (m)	
3 $\alpha$	2.32 (m)	29.2	2.34 (m)	34.8	2.17 (m)	30.8
3 $\beta$	1.43 (m)		1.67 (dd, 13.4, 13.4)		1.51 (m)	
4	2.04 (m)	55.1	2.53 (d, 12.3)	47.4	2.17 (m)	56.0
5		217.1		219.8		217.4
6 $\alpha$	2.72 (dd, 16.7, 7.9)	43.8	2.80 (m)	44.2	2.51 (m)	40.7
6 $\beta$	2.06 (m)		2.07 (d, 17.0)		2.51 (m)	
7	2.80 (m)	30.9	2.85 (m)	30.3	2.70 (ddd, 7.5, 7.5, 7.5)	30.7
8 $\alpha$	1.25 (m)	34.2	1.22 (ddd, 13.3, 13.3, 5.3)	34.1	3.59 (dd, 4.9, 4.9)	70.4
8 $\beta$	1.40 (m)		1.42 (br d, 12.3)			
9 $\alpha$	3.35 (ddd, 14.0, 14.0, 3.8)	45.8	3.33 (ddd, 13.4, 13.4, 3.7)	46.6	3.45 (ddd, 13.9, 13.9, 4.0)	45.7
9 $\beta$	2.83 (dd, 14.5, 6.0)		2.85 (m)		2.80 (dd, 14.2, 5.2)	
10 $\alpha$	1.65 (m)	30.0	1.50 (ddd, 13.7, 3.5, 3.5)	28.0	1.63 (ddd, 14.5, 3.2, 3.2)	29.9
10 $\beta$	2.23 (dddd, 13.9, 13.9, 6.3, 2.6)		2.80 (m)		2.17 (m)	
11	3.87 (br s)	71.8	3.91 (s)	71.6	3.79 (br s)	73.5
12		52.4		53.2		51.4
13		141.9		141.4		143.0
14	5.97 (d, 5.2)	132.9	5.95 (d, 5.5)	131.9	5.65 (d, 3.2)	128.1
15	2.32 (m)	27.9	2.31 (m)	28.2	2.51 (m)	31.8
16	1.09 (d, 7.1)	21.0	1.10 (d, 7.1)	21.0	1.14 (d, 7.1)	16.2

formula as  $\text{C}_{16}\text{H}_{23}\text{NO}_3$ , which was one oxygen atom more than that of **1**. IR absorption bands at 1731 and  $3444\text{ cm}^{-1}$  implied the presence of a ketone and a hydroxy group, respectively.  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra of **2** were similar to those of **1** except for the presence of one additional oxygenated methine function instead of one methylene function (Table 1). This led us to assume that **2** was an oxygenated derivative of **1**. The presence of the  $-\text{CH}_2\text{CH}(\text{OH})\text{CH}_2\text{CH}-$  fragment inferred from  $^1\text{H}-^1\text{H}$  COSY and HMQC spectra, as well as HMBC correlations such as H-2/C-4, H-1/C-9, H-1/C-13, H-3/C-5, and H-3/C-12, indicated that the additional hydroxy group was located at C-2. The configuration of this stereocenter was elucidated by NOESY analysis (Figure 3). Correlations between H $\beta$ -1 ( $\delta_{\text{H}}$  3.23) and H $\beta$ -3 ( $\delta_{\text{H}}$  1.67) as well as H $\beta$ -1 and H-14 ( $\delta_{\text{H}}$  5.95) indicated that H $\beta$ -1 and H $\beta$ -3 occupied quasi-axial positions on the azepine ring system (C-1–C-4, C-12–C-13, and nitrogen atom). Correlations between H-2 ( $\delta_{\text{H}}$  3.99) and four neighboring hydrogens (H $_2$ -1 and H $_2$ -3) implied that the secondary C-2 hydroxy group also occupied an axial position. Moreover, a decoupling experiment of H-2 ( $\delta_{\text{H}}$  3.99, d,  $J = 6.6$  Hz) indicated that this hydrogen coupled with H $\alpha$ -3. Dreding models regarding the dihedral angles (gauche relationships of H $\beta$ -2 and both hydrogens on C-1,  $30^\circ$  between H $\beta$ -2 and H $\alpha$ -3, and  $90^\circ$  between H $\beta$ -2 and H $\beta$ -3) also supported the result from NOESY

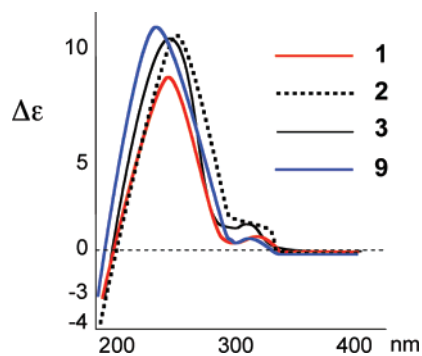
**Figure 3.** NOESY analyses of **2** and **3**.

analysis. From these data, we proposed that **2** is the 2 $\alpha$ -hydroxy derivative of **1**, i.e., 2 $\alpha$ ,11 $\alpha$ -dihydroxyfawcettidine, as shown in Figures 1 and 3.

Compound **3**, 8 $\alpha$ ,11 $\alpha$ -dihydroxyfawcettidine, showed the protonated molecular ion at  $m/z$  278.1769 ( $M + H$ ) that established the molecular formula as  $\text{C}_{16}\text{H}_{23}\text{NO}_3$  ( $\Delta +1.3$  mmu), which was identical with that of **2**. Comparison of  $^1\text{H}$  and  $^{13}\text{C}$  NMR data with those of **2** suggested that the two alkaloids were regioisomers with respect to the secondary hydroxy group (Table 1).  $^1\text{H}-^1\text{H}$  COSY and HMQC analyses indicated that this hydroxy group was located at C-8 instead of C-2 in **2** because an oxymethine resonance ( $\delta_{\text{H}}$  3.59, H-8) coupled with a methine hydrogen ( $\delta_{\text{H}}$  2.51, H-15), which further coupled with methyl hydrogens ( $\delta_{\text{H}}$  1.14, H $_3$ -16). The orientation of the 8-hydroxy group was elucidated from NOESY correlations between H-8 and two adjacent hydrogens (H $\beta$ -6 and H-15) as well as the  $J$  value of H-8 (dd,  $J = 4.9$  and  $4.9$  Hz), which was typical of a gauche relationship with neighboring hydrogens (H-7 and H-15). From these results, we confirmed that **3** was the 8 $\alpha$ -hydroxylated derivative of **1**, i.e., 8 $\alpha$ ,11 $\alpha$ -dihydroxyfawcettidine, as shown in Figures 1 and 3.

The CD spectra of **1**, **2**, **3**, and fawcettidine (**9**), the absolute configuration of which was elucidated by means of correlation with literature data,<sup>10e</sup> were almost the same, as shown in Figure 4, allowing us to determine the absolute configuration of the new alkaloids **1**, **2**, and **3**.

Compound **4**, 2 $\beta$ -hydroxylycothunine, was obtained as a colorless, amorphous powder. High-resolution FABMS analysis gave  $m/z$  278.1740 ( $M + H$ ,  $\Delta -1.6$  mmu) and established the molecular

**Figure 4.** CD spectra of **1**, **2**, **3**, and fawcettidine (**9**) in MeOH.

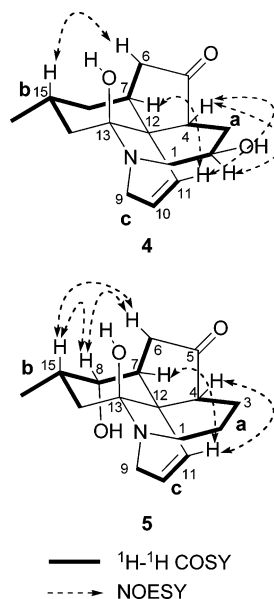
**Table 2.**  $^1\text{H}$  (600 MHz) and  $^{13}\text{C}$  NMR (150 MHz) Data for **4**–**6** in  $\text{CDCl}_3$ 

position	<b>4</b>		<b>5</b>		<b>6</b>	
	$\delta_{\text{H}}$ (mult., $J$ in Hz)	$\delta_{\text{C}}$	$\delta_{\text{H}}$ (mult., $J$ in Hz)	$\delta_{\text{C}}$	$\delta_{\text{H}}$ (mult., $J$ in Hz)	$\delta_{\text{C}}$
1 $\alpha$	2.83 (d, 14.0)	60.6	2.82 (dd, 14.5, 4.1)	54.6	2.80 (d, 14.5)	54.6
1 $\beta$	3.27 (dd, 14.1, 10.5)		3.27 (dd, 12.7, 12.7)		3.33 (dd, 13.3, 13.3)	
2 $\alpha$	4.22 (dddd, 11.8, 10.4, 2.7, 2.7)	65.1	1.35 (m)	23.6	1.36 (m)	23.5
2 $\beta$			2.01 (m)		1.99 (m)	
3 $\alpha$	2.26 (m)	37.8	2.19 (m)	28.8	2.18 (m)	28.8
3 $\beta$	2.10 (m)		1.97 (m)		1.97 (m)	
4	2.28 (m)	54.8	2.27 (m)	56.9	2.23 (m)	57.3
5		218.4		217.9		219.8
6 $\alpha$	2.19 (m)	39.9	2.30 (m)	39.5	2.18 (m)	40.2
6 $\beta$	2.54 (dd, 18.1, 12.6)		2.34 (m)		2.54 (dd, 17.8, 12.9)	
7	2.15 (m)	43.4	2.32 (m)	50.4	2.11 (m)	43.5
8 $\alpha$	1.20 (ddd, 13.0, 13.0, 5.2)	32.6	3.75 (br s)	72.3	1.20 (ddd, 13.0, 13.0, 4.8)	32.5
8 $\beta$	1.61 (d, 15.6)				1.60 (d, 13.5)	
9 $\alpha$	3.83 (d, 19.2)	51.4	3.91 (d, 19.5)	51.2	3.82 (ddd, 19.4, 2.1, 2.1)	51.2
9 $\beta$	3.33 (dd, 19.3, 3.9)		3.22 (dd, 19.3, 3.7)		3.23 (br d, 19.2)	
10	5.84 (ddd, 9.8, 3.8, 1.6)	128.4	5.80 (dd, 10.7, 3.0)	127.0	5.80 (ddd, 9.9, 3.9, 1.8)	127.8
11	5.53 (d, 9.8)	135.0	5.75 (d, 9.8)	136.3	5.53 (ddd, 9.9, 2.0, 2.0)	135.5
12		49.7		49.0		50.1
13		87.2		87.5		88.0
14 $\alpha$	1.71 (dd, 12.9, 12.9)	44.5	2.23 (m)	38.1	1.70 (dd, 13.6, 12.2)	45.2
14 $\beta$	1.32 (d, 13.7)		1.09 (m)		1.36 (m)	
15	1.99 (m)	22.7	2.25 (m)	27.1	1.99 (m)	22.6
16	0.92 (d, 6.5)	21.7	1.01 (d, 6.3)	17.3	0.92 (d, 6.6)	21.8

formula as  $\text{C}_{16}\text{H}_{23}\text{NO}_3$ , which was identical with those of **2** and **3**.  $^1\text{H}$  and  $^{13}\text{C}$  NMR data suggested the presence of one disubstituted olefinic function [ $\delta_{\text{H}}$  5.84 (1H, ddd,  $J = 9.8, 3.8, 1.6$  Hz), 5.53 (1H, d,  $J = 9.8$  Hz),  $\delta_{\text{C}}$  135.0 and 128.4], one aminoacetal [ $\delta_{\text{C}}$  87.2], one oxymethine [ $\delta_{\text{H}}$  4.22 (1H, dddd,  $J = 11.8, 10.4, 2.7, 2.7$  Hz),  $\delta_{\text{C}}$  65.1], and one secondary methyl group [ $\delta_{\text{H}}$  0.92 (3H, d,  $J = 6.5$  Hz)] (Table 2).  $^1\text{H}$ – $^1\text{H}$  COSY and HMQC analyses gave three fragments [**a**:  $-\text{CH}_2\text{CH}(\text{OH})\text{CH}_2\text{CH}-$ , **b**:  $-\text{CH}_2\text{CHCH}_2\text{CH}(\text{CH}_3)\text{CH}_2-$ , and **c**:  $-\text{CH}_2\text{CH}=\text{CH}-$ ]. Further, HMBC correlations between one aminomethylene hydrogen [ $\delta_{\text{H}}$  2.83 (H $\alpha$ -1)] and one aminomethylene carbon [ $\delta_{\text{C}}$  51.4 (C-9)] suggested that C-1 ( $\delta_{\text{C}}$  60.6) and C-9 were attached to the same nitrogen atom, implying that **4** had the fawcettimine-type skeleton with one external hydroxy group at C-2 and one disubstituted olefinic function at C-10 and C-11. The positions of the hydroxy group and the disubstituted olefinic function were inferred from chemical shifts and HMBC analyses as follows. Correlation between the olefinic hydrogen on fragment **c** [ $\delta_{\text{H}}$  5.84 (H-10)] and the quaternary carbon [ $\delta_{\text{C}}$  49.7 (C-12)] implied that the quaternary carbon (C-12) was connected to the olefinic carbon [ $\delta_{\text{C}}$  135.0 (C-11)] at the terminus of fragment **c**. Further correlations between the terminal olefinic hydrogen on fragment **c** [ $\delta_{\text{H}}$  5.53 (H-11)] and two carbons [ $\delta_{\text{C}}$  43.4 (C-7) and 87.2 (C-13)] as well as correlations between the terminal methine hydrogen on fragment **a** [ $\delta_{\text{H}}$  2.28 (H-4)] and the C-12 and C-13 permitted confirmation of the positions of the C-2 hydroxy group and one disubstituted olefinic function at C-10 in the fawcettimine-type skeleton. The relative configuration was elucidated on the basis of NOESY analysis. The configurations of the five asymmetric carbons (C-4, -7, -12, -13, and -15) were found to be the same as that of the related known alkaloid lycothunine (**6**) on the basis of NOESY correlations such as H-4/H-11, H-7/H-11, and H-6 $\beta$ /H-15, as shown in Figure 5. The NOESY correlation between H-2 and H-4 indicated that these two hydrogens are oriented on the same side. The large coupling constants between H-2 and two anti-hydrogens (H $\beta$ -1 and H $\beta$ -3) supported the  $\beta$ -orientation of the hydroxyl group on C-2. Thus, we concluded that **4** is 2 $\beta$ -hydroxylycothunine, as depicted in Figures 1 and 5.

Compound **5**, 8 $\alpha$ -hydroxylycothunine, was obtained as a colorless solid. High-resolution FABMS analysis gave  $m/z$  278.1762 (M + H,  $\Delta +0.6$  mmu) and established the molecular formula as  $\text{C}_{16}\text{H}_{23}\text{NO}_3$ , which was identical with that of compound **4**. The NMR spectrum of **5** was similar to that of **4** except for the oxymethine resonances at  $\delta_{\text{H}}$  3.75 (H-8) and  $\delta_{\text{C}}$  72.3 (C-8), implying

that **5** is a regioisomer of **4** (Table 2).  $^1\text{H}$ – $^1\text{H}$  COSY and HMQC analyses gave three fragments [**a**:  $-\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}-$ , **b**:  $-\text{CH}_2\text{CHCH}(\text{OH})\text{CH}(\text{CH}_3)\text{CH}_2-$ , and **c**:  $-\text{CH}_2\text{CH}=\text{CH}-$ ], which implied that **5** had a secondary hydroxy group at C-8 (Figure 5). HMBC correlations such as H-1/C-9, H-1/C-13, H-3/C-5, H-3/C-12, H-4/C-5, H-6/C-5, H-8/C-12, H-11/C-12, and H-11/C-13 demonstrated that **5** had the same backbone as **4**. The stereostructure of **5** was deduced to be the same as that of **4** on the basis of NOESY correlations, particularly H-4/H-11, H-6/H-15, and H-7/H-11. The orientation of the secondary C-8 hydroxy group was assigned by coupling constant analysis as in the case of **3**, since the C-8 hydrogen was observed as a broadened singlet (small coupling constants), which indicated its equatorial orientation. Thus, the C-8 hydroxy group occupied the axial position in the cyclohexane ring system consisting of C-7–C-8 and C-12–C-15, causing a steric ( $\gamma$ -gauche) effect on C-14 and C-16 (the resonances were shifted upfield by 6.4 and 4.4 ppm, respectively, compared with those of **4**). These results allowed the structure of **5** to be assigned as 8 $\alpha$ -hydroxylycothunine.

**Figure 5.** Selected 2D NMR data of **4** and **5**.



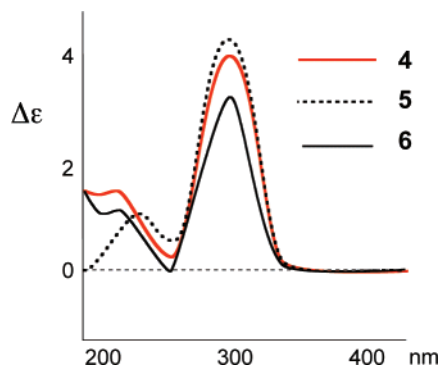


Figure 6. CD spectra of **4**, **5**, and **6** in MeOH.

Comparing the CD spectra (Figure 6) of **4** and **5** with that of lycothunine (**6**), the absolute configuration of which was elucidated by means of comparison with fawcettimine,<sup>5b</sup> we concluded the absolute configuration of **4** and **5** to be the same as **6**.

The AChE inhibitory activity of **1**, **2**, **5**, and lycoserramine-H<sup>3c</sup> was assayed using the Ellman method.<sup>11</sup> **2** and **10** inhibited AChE (IC<sub>50</sub> 27.9 and 16.7 μM, respectively), whereas **1** and **5** did not show such activity.

## Experimental Section

**General Experimental Procedures.** Optical rotations were measured with a JASCO P-1020 polarimeter. CD was recorded on a JASCO J-720WI spectrometer. IR spectra were recorded on a JASCO FT/IR-230 spectrophotometer. NMR spectroscopic data were recorded on JEOL JNM ECP-600 and JNM A-500 spectrometers, where *J* values are given in Hz. EIMS was recorded on a JEOL JMS GC-mate spectrometer with direct probe insertion at 70 eV. FABMS and HRFABMS were recorded on a JEOL AX-500 spectrometer and a JEOL JMS-HX110 spectrometer, respectively. X-ray crystallographic analysis was conducted on a Rigaku AFC7S diffractometer with graphite-monochromated Mo K $\alpha$  radiation ( $\lambda = 1.54178 \text{ \AA}$ ). TLC was done on precoated silica gel 60 F254 plates (Merck, 0.25 mm thick). Column chromatography was carried out over silica gel 60 (Merck, 70–230 mesh). Medium-pressure liquid chromatography was carried out over silica gel prepacked columns (Kusano CPS-HS-221-05).

**Plant Material.** The club moss *Lycopodium serratum* Thunb. was collected at Kiyosumi Mountains in Chiba Prefecture in May and identified by Mr. Tamotsu Nose, a member of the Botanical Society of Chiba Prefecture, Japan. A voucher specimen was deposited at the Herbarium of the Faculty of Pharmaceutical Science, Chiba University (20010512tn).

**Extraction and Isolation of Alkaloids.** The air-dried club moss (95.7 g) was extracted with MeOH (5  $\times$  5.0 L), and the extracts were filtered. The combined filtrates were concentrated under reduced pressure to give the crude extract (37.6 g), which was suspended in 2% tartaric acid and filtered. The aqueous filtrate was washed with petroleum ether, rendered basic with Na<sub>2</sub>CO<sub>3</sub> (pH 10), and exhaustively extracted with 5% MeOH/CHCl<sub>3</sub>. The organic layer was dried over MgSO<sub>4</sub> and evaporated to give the crude alkaloid fraction (628 mg). The crude base was roughly separated by silica gel column chromatography using a CHCl<sub>3</sub> to 30% MeOH/CHCl<sub>3</sub> gradient, 30% MeOH/CHCl<sub>3</sub> saturated with NH<sub>4</sub>OH, and MeOH to give six fractions (A–F). The 10–20% MeOH/CHCl<sub>3</sub> eluate (fraction C) was rechromatographed over SiO<sub>2</sub> using 0–100% MeOH/EtOAc to give nine fractions (C1–C9). The 15–20% MeOH/EtOAc eluate (fraction C5) was further purified by SiO<sub>2</sub> column chromatography using 5% MeOH/CHCl<sub>3</sub> to afford 6.6 mg of 11 $\alpha$ -hydroxyfawcettidine (**1**), 4.3 mg of 2 $\alpha$ ,11 $\alpha$ -dihydroxyfawcettidine (**2**), 1.2 mg of 8 $\alpha$ ,11 $\alpha$ -dihydroxyfawcettidine (**3**), and 1.9 mg of 2 $\beta$ -hydroxylycothunine (**4**) together with 9.5 mg of lycothunine (**6**), 11.7 mg of serratine (**7**), and 1.4 mg of serratanidine (**8**). The 20% MeOH/CHCl<sub>3</sub> to 30% MeOH in CHCl<sub>3</sub> saturated with NH<sub>4</sub>OH eluate (fraction D) was rechromatographed over an Al<sub>2</sub>O<sub>3</sub> column using 0–100% MeOH/CHCl<sub>3</sub> (D1–D5). The 5–15% MeOH/CHCl<sub>3</sub> eluate (fraction D2) was further purified by Al<sub>2</sub>O<sub>3</sub> column

chromatography using 1% MeOH/AcOEt to give 7.1 mg of 8 $\alpha$ -hydroxylycothunine (**5**).

**11 $\alpha$ -Hydroxyfawcettidine (1):** colorless solid; CD (*c* 0.35 mmol/L, MeOH, 24 °C),  $\lambda$  nm ( $\Delta\epsilon$ ) 316 (0), 294 (+0.7), 272 (+0.5), 230 (+8.7); [ $\alpha$ ]<sub>D</sub><sup>25</sup> +134.2 (*c* 0.28, CHCl<sub>3</sub>); IR (CHCl<sub>3</sub>)  $\nu_{\max}$  3454, 1732 cm<sup>-1</sup>; NMR data, see Table 1; FABMS (NBA) *m/z* 262 [M + H]<sup>+</sup>; HRFABMS (NBA/PEG) *m/z* 262.1805 (M + H, calcd for C<sub>16</sub>H<sub>24</sub>NO<sub>2</sub>, 262.1807).

**2 $\alpha$ , 11 $\alpha$ -Dihydroxyfawcettidine (2):** colorless solid; CD (*c* 0.21 mmol/L, MeOH, 24 °C),  $\lambda$  nm ( $\Delta\epsilon$ ) 316 (0), 298 (+0.9), 279 (+1.3), 232 (+11.8); [ $\alpha$ ]<sub>D</sub><sup>25</sup> +84.7 (*c* 0.20, CHCl<sub>3</sub>); IR (CHCl<sub>3</sub>)  $\nu_{\max}$  3444, 1731 cm<sup>-1</sup>; NMR data, see Table 1; FABMS (NBA) *m/z* 278 [M + H]<sup>+</sup>; HRFABMS (NBA/PEG) *m/z* 278.1775 (M + H, calcd for C<sub>16</sub>H<sub>24</sub>NO<sub>3</sub>, 278.1756).

**8 $\alpha$ , 11 $\alpha$ -Dihydroxyfawcettidine (3):** colorless solid; CD (*c* 0.43 mmol/L, MeOH, 24 °C),  $\lambda$  nm ( $\Delta\epsilon$ ) 324 (0), 292 (+1.1), 281 (+0.9), 230 (+10.9); IR (CHCl<sub>3</sub>)  $\nu_{\max}$  3399, 1731 cm<sup>-1</sup>; NMR data, see Table 1; FABMS (NBA) *m/z* 278 [M + H]<sup>+</sup>; HRFABMS (NBA/PEG) *m/z* 278.1769 (M + H, calcd for C<sub>16</sub>H<sub>24</sub>NO<sub>3</sub>, 278.1756).

**2 $\beta$ -Hydroxylycothunine (4):** colorless, amorphous powder; CD (*c* 0.41 mmol/L, MeOH, 24 °C),  $\lambda$  nm ( $\Delta\epsilon$ ) 323 (0), 286 (+4.2), 247 (+0.3), 215 (+1.6); IR (CHCl<sub>3</sub>)  $\nu_{\max}$  3389, 1731 cm<sup>-1</sup>; NMR data, see Table 2; FABMS (NBA) *m/z* 278 [M + H]<sup>+</sup>; HRFABMS (NBA/PEG) *m/z* 278.1740 (M + H, calcd for C<sub>16</sub>H<sub>24</sub>NO<sub>3</sub>, 278.1756).

**8 $\alpha$ -Hydroxylycothunine (5):** colorless solid; CD (*c* 0.33 mmol/L, MeOH, 24 °C),  $\lambda$  nm ( $\Delta\epsilon$ ) 319 (+0.1), 284 (+4.7), 253 (+1.0), 231 (+1.5); [ $\alpha$ ]<sub>D</sub><sup>25</sup> +72.2 (*c* 0.26, CHCl<sub>3</sub>); IR (CHCl<sub>3</sub>)  $\nu_{\max}$  3309, 1733 cm<sup>-1</sup>; NMR data, see Table 2; FABMS (NBA) *m/z* 278 [M + H]<sup>+</sup>; HRFABMS (NBA/PEG) *m/z* 278.1762 (M + H, calcd for C<sub>16</sub>H<sub>24</sub>NO<sub>3</sub>, 278.1756).

**Lycothunine (6):** colorless, amorphous powder; CD (*c* 0.36 mmol/L, MeOH, 24 °C),  $\lambda$  nm ( $\Delta\epsilon$ ) 318.8 (0), 286.2 (+3.5), 246.6 (+0.2), 220.2 (+1.3); [ $\alpha$ ]<sub>D</sub><sup>25</sup> +153.5 (*c* 0.21, EtOH); IR (CHCl<sub>3</sub>)  $\nu_{\max}$  3418, 1730 cm<sup>-1</sup>; NMR data, see Table 2; EIMS (%) *m/z* 261 (M<sup>+</sup>, 100), 220 (12.7), 243 (16.1)

**Serratine (7):** colorless pillars (recrystallized from EtOAc, mp 249 °C); [ $\alpha$ ]<sub>D</sub><sup>24</sup> -11.8 (*c* 0.39, EtOH); IR (KBr)  $\nu_{\max}$  3288, 1737 cm<sup>-1</sup>; <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)  $\delta$  4.36 (1H, s), 3.62 (1H, s), 2.97 (2H, m), 2.70 (5H, m), 2.24 (1H, dd, *J* = 11.4, 11.4), 2.08 (1H, m), 1.74–1.94 (8H, m), 1.57 (2H, m), 1.25 (3H, s), 1.08 (1H, m); <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>)  $\delta$  214.8, 77.4, 75.2, 72.2, 52.1, 50.3, 45.2, 39.4, 38.1, 35.4, 32.6, 31.0, 25.3, 21.6, 21.1, 21.0; EIMS (%) *m/z* 279 (M<sup>+</sup>, 5.5), 251 (89.9), 152 (100). Crystal data: triclinic, C<sub>16</sub>H<sub>25</sub>NO<sub>3</sub> (MW 279.38), space group *P*1 with *a* = 7.708(1) Å, *b* = 24.878(4) Å, *c* = 7.597(2) Å, *V* = 1456.9(5) Å<sup>3</sup>, *Z* = 4, and *D*<sub>calc</sub> = 1.274 g/cm<sup>3</sup>. The structure was solved by direct methods (SIR97) and expanded using Fourier techniques (DIRDIF94). The non-hydrogen atoms were refined anisotropically. Hydrogen atoms were included but not refined. The final cycle of full-matrix least-squares refinement was based on 4887 reflections (*I* > 3.00 $\sigma$ (*I*), 2 $\theta$  < 137.99) and 723 variable parameters and converted with unweighted and weighted agreement factors of *R* = 0.050 and *R*<sub>w</sub> = 0.073.

**Serratanidine (8):** colorless solid; [ $\alpha$ ]<sub>D</sub><sup>26</sup> -31.0 (*c* 0.049, EtOH); <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  4.05 (1H, dd, *J* = 6.1, 11.5), 3.59 (1H, d, *J* = 10.9), 3.14 (1H, ddd, *J* = 7.9, 7.9, 7.9), 3.05 (1H, m), 2.90 (1H, br d, *J* = 7.9), 2.66 (1H, br dd, *J* = 12.5, 12.5), 2.56 (1H, m), 2.48 (2H, m), 2.32 (1H, br s), 2.22 (1H, dd, 7.0, 13.1), 2.10 (1H, m), 1.94–1.81 (3H, m), 1.75–1.69 (2H, m), 1.58 (1H, m), 1.36 (1H, ddd, 4.5, 14.0, 14.0), 1.19 (3H, s); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>)  $\delta$  217.4, 79.1, 75.8, 74.8, 72.3, 49.9, 46.9, 45.6, 43.4, 42.6, 39.8, 37.8, 31.0, 22.5, 19.5, 19.2; FABMS (NBA) *m/z* 296 [M + H]<sup>+</sup>.

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## References and Notes

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